

Decision Maker: ADULT CARE AND HEALTH POLICY DEVELOPMENT AND SCRUTINY COMMITTEE

Date: Wednesday 21st November 2018

Decision Type: Non-Urgent Non-Executive Non-Key

Title: EDUCATION, CARE AND HEALTH SERVICES RISK REGISTER – QUARTER 2, 2018/19

Contact Officer: Denise Mantell, Senior Planning and Development Officer
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Chief Officer: Ade Adetosoye, Deputy Chief Executive & Executive Director: ECHS

Ward: Not Applicable

1. Reason for report

1.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. Education, Care and Health Services' Risk Register covers those risks which impact on its ability to deliver its priorities and objectives. This report enables Children, Education and Families Budget and Performance Monitoring Sub-Committee to scrutinise those risks and the actions taken to control them in line with Audit Sub-Committee recommendations.

2. RECOMMENDATIONS

2.1 Members are asked to note:

- i) The current Education, Care and Health Services' Risk Register and the existing controls in place to mitigate the risks.
- ii) The recommendation from the Executive, Resources and Contracts PDS Committee for relevant PDS Committees to monitor all high (red) risks at each of its meetings until they are no longer high risks.

Impact on Vulnerable Adults and Children

1. Summary of Impact: Not Applicable
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Corporate Policy

1. Policy Status: Not Applicable
 2. BBB Priority: Excellent Council Safe Bromley Supporting Independence Healthy Bromley
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Financial

1. Cost of proposal: Not Applicable:
 2. Ongoing costs: Not Applicable:
 3. Budget head/performance centre: Not Applicable
 4. Total current budget for this head: £Not Applicable
 5. Source of funding: Not Applicable
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Personnel

1. Number of staff (current and additional): Not Applicable
 2. If from existing staff resources, number of staff hours: Not Applicable
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Legal

1. Legal Requirement: None: Not Applicable
 2. Call-in: Not Applicable: No Executive Decision
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Procurement

1. Summary of Procurement Implications: Not Applicable
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Customer Impact

1. Estimated number of users/beneficiaries (current and projected): Not Applicable
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Ward Councillor Views

1. Have Ward Councillors been asked for comments? Not Applicable
2. Summary of Ward Councillors comments: Not Applicable

3. COMMENTARY

Background

- 3.1 Risk Management is the identification, analysis and overall control of those risks which can impact on the Council's ability to deliver its priorities and objectives. The Education, Care and Health Services Risk Register feeds into the Corporate Risk Register, via the Corporate Risk Management Group, and comprises the high level departmental risks which are underpinned by more detailed registers contained within the divisional business plans.
- 3.2 Audit Sub-Committee agreed that the Corporate and Departmental Risk Registers would be reviewed at their meetings twice a year and then subsequently scrutinised by the relevant PDS Committee. Internal processes require that the departmental risk registers be updated and agreed by the Departmental Leadership Team (DLT) on a quarterly basis and be reviewed by the Corporate Risk Management Group.
- 3.3 In line with recommendations from Audit Sub-Committee the ECHS risk register has been updated on a quarterly basis and agreed by ECHS Departmental Leadership Team. The Risk Register 2018/19 Quarter 2 update was agreed by ECH DLT in October 2018.
- 3.4 The Education, Care and Health Services Risk Register is attached as Appendix 1. The Education, Care and Health Services Risk Register is attached as Appendix 1. Members' attention is drawn to those risks of relevance to the Children, Education and Families Portfolio as detailed in the table below.

Risk Reference	Risk
1	Failure to deliver ECHS Financial Strategy
2	Failure to deliver effective Adult Social Care services
2a	Failure to deliver effective Learning Disability services
4	Recruitment and Retention
5	Failure to deliver effective Housing Needs services
5a	Temporary Accommodation
5b	Capital Grant
6	Inability to deliver an effective Public Health service
7	Business Interruption / Emergency Planning
8	Contracts and Service Level Agreements
18	Welfare Reform
19	Deprivation of Liberty Safeguards
20	Data Collections
22	Failure to deliver partial implementation of Health & Social Care Integration

- 3.5 Since June 2018, when this PDS last saw the Risk Register, there have been no changes to the gross and net (current) risks outlined above.
- 3.6 Mitigating actions have seen 5 high risks reduced to significant risk, all 3 significant risks reduced to medium risk and 1 medium risk reduced to low risk.

Level of Risk	Gross Risk		Net Risk	
	No.	%	No.	%
High	8	57%	3	21%
Significant	3	21%	5	36%
Medium	2	14%	4	29%
Low	1	7%	2	14%
Total	14	100	14	100

3.7 At its meeting on 5 September 2018, Executive, Resources and Contracts Policy Development and Scrutiny Committee requested that any risks marked as 'red' (high), needed to be presented to each meeting of the relevant PDS Committee for monitoring until they were no longer red. Accordingly a paper on 'red' (high) risks will be brought to this Sub-Committee at those meetings which do not include a full update of the Risk Register.

4. IMPACT ON VULNERABLE ADULTS AND CHILDREN

4.1 The controls already in place and the further actions outlined in the Risk Register mitigate against adverse impacts on vulnerable children.

5. POLICY IMPLICATIONS

5.1 There are no policy implications arising directly from this report. Any policy implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

6. FINANCIAL IMPLICATIONS

6.1 There are no financial implications arising directly from this report. Any financial implications arising from the existing controls and the further action required to mitigate against the risks are reported to the Sub-Committee separately.

7. PERSONNEL IMPLICATIONS

7.1 There are no personnel implications arising directly from this report. Any personal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

8. LEGAL IMPLICATIONS

8.1 There are no legal implications arising directly from this report. Any legal implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

9. PROCUREMENT IMPLICATIONS

9.1 There are no procurement implications arising directly from this report. Any procurement implications arising from the existing controls and further action to mitigate against the risks are reported to the Sub-Committee separately.

Non-Applicable Sections:	Not Applicable
Background Documents: (Access via Contact Officer)	Not Applicable